



Receipt
FILE COPY

PATENT
450100-02103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoichiro SAKO et al.
Serial No. : 09/407,550
Filed : September 28, 1999
For : TRANSMITTING METHOD AND TRANSMITTING DEVICE,
RECEIVING METHOD AND RECEIVING DEVICE, AND
TRANSFER METHOD AND TRANSFER SYSTEM
Art Unit : 2734

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on December 17, 1999

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature

December 17, 1999
Date of Signature

RECEIVED
JUN 13 2003
TOLSON MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division
Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued
in the above-identified application.

Under Applicants:

After TORU TAKEDA, please change "SAITANA" to --
SAITAMA--.

PATENT
450100-02103


The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the parent patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
Gordon Kessler
Reg. No. 38,511
Tel. (212) 588-0800

Enclosure

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/407,550	09/28/99	2734	\$3,244.00	450100-02103	19	145	6

FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK NY 10151

RECEIVED
JUL 19 2000
10 21 2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) YOICHIRO SAKO, TOKYO, JAPAN; TAKAYUKI USAMI, TOKYO, JAPAN; YUICHIRO DEGUCHI, KANAGAWA, JAPAN; MASAYUKI OBATA, TOKYO, JAPAN; MASAHIRO HOTORI, KANAGAWA, JAPAN; TATSUYA INOKUCHI, KANAGAWA, JAPAN; SHUICHI ITO, TOKYO, JAPAN; TORU TAKEDA, SAITAMA, JAPAN.

SAITAMA

FOREIGN APPLICATIONS- JAPAN 10-276766 09/30/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/19/99
TITLE

TRANSMITTING METHOD AND TRANSMITTING DEVICE, RECEIVING METHOD AND RECEIVING DEVICE, AND TRANSFER METHOD AND TRANSFER SYSTEM

PRELIMINARY CLASS: 375

DOCKETED

DATA ENTRY BY: MIDDLETON, MATTIE TEAM: 04 DATE: 10/19/99

(See reverse for new important information)

SERIAL NUMBER 09/407,550	FILING DATE 09/28/99	CLASS 375	GROUP ART UNIT 2734	ATTORNEY DOCKET NO. 450100-02103
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APPLICANT	YOICHIRO SAKO, TOKYO, JAPAN; TAKAYUKI USAMI, TOKYO, JAPAN; YUICHIRO DEGUCHI, KANAGAWA, JAPAN; MASAYUKI OBATA, TOKYO, JAPAN; MASAHIRO HOTORI, KANAGAWA, JAPAN; TATSUYA INOKUCHI, KANAGAWA, JAPAN; SHUICHI ITO, TOKYO, JAPAN; TORU TAKEDA, SAITAMA, JAPAN.			
	CONTINUING DOMESTIC DATA*** VERIFIED 			
	371 (NAT'L STAGE) DATA*** VERIFIED 			
	FOREIGN APPLICATIONS*** VERIFIED JAPAN 10-276766 09/30/98 			

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/19/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY JPX	SHEETS DRAWING 19	TOTAL CLAIMS 145	INDEPENDENT CLAIMS 6
Verified and Acknowledged <u>Examiner's Initials</u> <u>Initials</u>					

ADDRESS	FROMMER LAWRENCE & HAUG LLP 745 FIFTH AVENUE NEW YORK NY 10151

TITLE	TRANSMITTING METHOD AND TRANSMITTING DEVICE, RECEIVING METHOD AND RECEIVING DEVICE, AND TRANSFER METHOD AND TRANSFER SYSTEM

FILING FEE RECEIVED \$3,374	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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SECTOR #

PATENT
450100-02103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

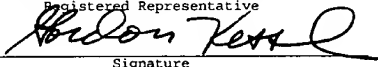
Applicants : Yoichiro SAKO et al.
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Washington, D.C. 20231, on December 17, 1999

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or
Registered Representative



Signature

December 17, 1999

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File
Missing Parts of Application" (form PTO-1533) dated October 21,
1999 on the above application, a Declaration duly signed by the
inventors, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

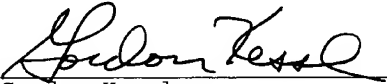
PATENT
450100-02103

Please charge any additional fees incurred or credit
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the
identified application is now complete. Early examination of the
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
Gordon Kessler
Reg. No. 38,511
Tel. (212) 588-0800

Enclosure



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/107,550 09/28/99 SAKO Y 450100-02103

FROMMER LAWRENCE & HAUG LLP
 745 FIFTH AVENUE
 NEW YORK NY 10151



NOT ASSIGNED

2734

DATE MAILED:

10/21/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

my middleton
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

130.00
 00000082 09407550
 12/21/1999 CCE:IN
 01 FC:105